



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DOUGLAS E. CRAFTS

Application No.: 09/738,686

Filed: December 15, 2000

For: **ALIGNMENT OF FIBER OPTIC  
BUNDLE TO ARRAY WAVEGUIDE  
USING PINS**

Art Group: 2839

Examiner: Thanh Tam T. Le

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted concurrently with the Reexamination Continuation Application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: March 16, 2004

  
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Gregory D. Caldwell, Reg. No. 39,926

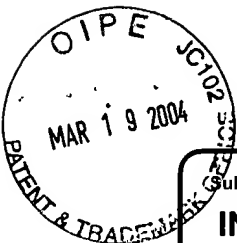
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Date



Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/738,686
				Filing Date	December 15, 2000
				First Named Inventor	Douglas E. Crafts
				Art Unit	2839
				Examiner Name	Thanh Tam T. Le
				Attorney Docket Number	42390P10312
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US-5,611,010	03-11-1997	Shiino et, al.	
	2	US-5,619,605	04-08-1997	Ueda et, al.	
	3	US-5,625,730	04-29-1997	Ishikawa et, al.	
	4	US-5,633,968	05-27-1997	Sheem, Sang K.	
	5	US-5,721,798	02-24-1998	Kanda	
	6	US-5,859,943	01-12-1999	Asakura et, al.	
	7	US-5,535,295	07-09-1996	Matsumoto	
	8	US-6,375,365	04-20-2002	Chau	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	9	GB 2-219-414 A	12-06-1989	The General Electric Company		
	10	EP 0 940 699 A1	09-08-1999	Fujitsu Limited		

Examiner Signature	Date Considered
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

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